## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	
Hideki KUME	)	
Application No.: Unassigned	)	Group Art Unit: Unassigned
Filed: July 1, 2003	. ) . )	Examiner: Unassigned
For: OPTICAL DISC APPARATUS	)	
Commissioner for Patents		

## **INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the documents listed on the attached PTO-1449. This Information Disclosure Statement is being filed within three months of the filing date of the above-referenced application before the mailing date of a first Office Action on the merits for the above-referenced application.

A copy of each listed document is attached

The following are listed on the accompanying PTO-1449 and are in a language other than English.

- a. <u>Japanese Unexamined Patent Publication No. 11-232653</u>. The relevance of this document is discussed at page <u>4</u> of the specification of the present application and shown in the English-language abstract.
- b. <u>Japanese Unexamined Patent Publication No. 2002-15434</u>. The relevance of this document is discussed at page <u>4</u> of the specification of the present application and shown in the English-language abstract.

c. <u>Japanese Unexamined Patent Publication No. 9-21937</u>. The relevance of this

document is discussed at page 5 of the specification of the present application and shown in the

English-language abstract.

d. <u>Japanese Unexamined Patent Publication No. 2002-15433</u>. The relevance of this

document is discussed at page 5 of the specification of the present application and shown in the

English-language abstract.

This submission does not represent that a search has been made or that no better art exists

and does not constitute an admission that the listed document is material or constitutes "prior

art." If it should be determined that the listed document does not constitute "prior art" under

United States law, Applicant reserve the right to present to the Office the relevant facts and law

regarding the appropriate status of such document. Applicant further reserve the right to take

appropriate action to establish the patentability of the disclosed invention over the listed

document, should the document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the

fee to our Deposit Account No. 50-0310.

Respectfully submitted,

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Date: July 1, 2003

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## INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

PTO Form 1449

Attorney Docket No. 040894-5939	Serial No. Unassigned		
Applicant Hideki KUME			
Filing Date	Group		
July 1, 2003	Unassigned		

## U.S. PATENT DOCUMENTS

*Examiner Initial		Document Number D		Name	Class	Sub Class	Filing Date
		6,493,309	Dec. 10, 2002	Sogawa, et al.			
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	Document Number	Date	Country	Class	Sub Class	Transla YES	ation NO
	11-232653	Aug. 27, 1999	Japan			Abstract	
	2002-15434	Jan. 18, 2002	Japan			Abstract	
	9-21937	Jan. 21, 1997	Japan		- ·	Abstract	
	2002-15433	Jan. 18, 2001	Japan			Abstract	
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	OTHER DOCUMENTS	(Including Author,	Title, Date,	, Pertinent Page	s, Etc.)	
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